Perspectives on the Minamata Convention and dental amalgam waste management in South Africa

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ABSTRACT

The Minamata Convention on Mercury (MCM), a pivotal multilateral agreement, is dedicated to controlling human sources of mercury environmental release. Due to their unique properties, mercury and its compounds were extensively used in products and industrial processes. Human activities have escalated atmospheric mercury levels by 450%, causing severe environmental and life-threatening consequences. The MCM focuses on restricting mercury mining, phasing out and phasing down mercury use in products and processes, controlling environmental emissions and regulating smallscale gold mining. Dental amalgam restorative material is the only product subject to a phase-down approach through the prevention of dental caries, research for alternative restorative materials, and waste management practices. The increasing understanding of mercury's health and environmental impacts has led to restricting dental amalgam use in pregnant women and children, phase-out in several countries, and cessation of the marketing of dental amalgam by some manufacturers. This perspective delves into the current approaches to managing dental amalgam waste and proposes improvements to dental amalgam waste management practices in South Africa.

Keywords

Minamata Convention, mercury, dental amalgam, dental amalgam waste

Introduction

The 2013 Minamata Convention on Mercury (MCM), the 2001 Stockholm Convention on Persistent Organic Pollutants, the 1998 Rotterdam Convention on Prior Informed Consent Procedure for certain Hazardous Chemicals and Pesticides in International Trade and the 1989 Basel Convention on the Control are all global multilateral and legally binding environmental agreements that aim to protect human health and the environment from hazardous chemicals and waste.¹ The MCM came into force on August 16 2017 and sought to control the anthropogenic release of mercury throughout

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1. Stephen M Sudi: conceptualisation, draft preparation (60%)

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its life cycle.2 The MCM focuses on banning new mercury mines, phasing out existing mines, phasing out and phasing down mercury use in products and processes, controlling environmental emissions, and regulating the informal sector of artisanal and small-scale gold mining.3 The MCM is of interest to oral health practitioners because of the potential environmental release of mercury waste from dental amalgam restorative material. The dental amalgam restorative material comprises 43-50% liquid mercury, which forms an intermetallic alloy with varying amounts of silver, tin, copper, zinc and palladium.⁴ In the MCM, dental amalgam is subject to a phase-down approach based on prevention, research for alternative materials, and waste management practices. The debate on the use of dental amalgam in South Africa has been reignited by the recent publication of the Department of Forestry, Fisheries and the Environment's amended draft national regulations for the management of mercury in South Africa to stakeholders.⁵ 2024 is the fifth year since South Africa acceded as a party to the MCM.⁶

Brief background of mercury in the environment

Mercury is a naturally occurring element, the only liquid metal at room temperature. It exists in multiple oxidative states, inorganic salts and organic complexes,⁷ all of which present with varied chemical affinities, biological activity and toxicity.⁸ Mercury's chemical and physical properties have led to its widespread use in various products and manufacturing processes, leading to detrimental environmental release and human exposure.⁹ Other sources of environmental mercury release are geologic processes such as volcanic activities.¹⁰ Human activities have increased total atmospheric mercury concentration by about 450% above natural levels.⁹ In a 2010 report by Masekoameng et al South Africa was ranked as the sixth largest emitter of mercury, releasing 29.47t, with 80% of the emissions originating from coal-fired power generation.¹¹

Mercury is a recognised global pollutant that persists in the environment, bioaccumulates and biomagnifies in the food chain and has adverse health effects on human health, animal life and the environment.³ Human exposure occurs mainly through the ingestion of fish and other marine species contaminated with organic mercury or through contact with mercury used in products and processes, such as artisanal and small-scale gold mining.¹² The World Health Organization (WHO) has described mercury as one of the 10 chemicals of public health concern.¹³

The most significant and catastrophic mercury poisoning occurred in Minamata, Japan. In 1932, the Chisso factory started producing acetaldehyde using inorganic mercury as a catalyst and released effluent into the Minamata Bay and the Shiranui Sea. Minamata Bay residents reported dwindling fish catches, deranged flight in crows, and cats exhibiting

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strange movements, convulsions and deaths.¹⁴ In April 1956, two sisters, aged five and two, presented with unexplained neurological symptoms at Chisso Hospital and, by August 1956, 30 cases and 11 deaths were attributed to "Minamata Disease".¹⁶

Methylmercury, a by-product in synthesising acetaldehyde, was recognised as the cause of neurological symptoms in October 1959.¹⁶ The released methylmercury bioaccumulated in marine life, a staple diet of the Minamata population, leading to acute and chronic methylmercury poisoning.¹⁷ More than 2,000 individuals were officially recognised as Minamata Disease patients, with several tens of thousands exhibiting neurological symptoms characteristic of methylmercury poisoning.¹⁸ The Minamata convention is named after the Minamata Bay disaster, a remembrance of the lives lost and a commitment to addressing the anthropogenic release of mercury.

It is well known that the consumption of marine life contaminated with methylmercury is associated with long-term neurocognitive deficits in exposed children and increased cardiovascular risks in adults.¹⁹ The health risks of consuming marine life contaminated with methylmercury are similarly pertinent to South Africa. In 2015, mercury levels higher than the recommended levels by the WHO in some fish samples from Cape Town and Durban were reported, leading to the release of a dietary advisory for allowable fish consumption in South Africa.²⁰

South Africa has witnessed the ravages of mercury poisoning and environmental contamination through industrial exposure and artisanal and small-scale gold mining (ASGM). Occupational exposure of 30 workers (two of them fatally) at a mercury processing plant owned by Thor Chemicals and environmental contamination of the Mngceweni River in KwaZulu-Natal were reported in the 1990s.²¹ In a study conducted in 1999, fish collected from the local water streams downstream of the Thor plant exhibited elevated mercury levels.²² Ten years later, Papu-Zamxaka et al described elevated mercury levels in fish and soil samples from the uMgeni River and in hair samples from individuals who consumed fish from the uMgeni River and the Inanda Dam.²³

The Thor Chemicals saga further offers a glimpse at the challenges of long-term storage of mercury waste in a developing country. Mercury waste originating from the Thor Chemicals site has been subject to fires at a processing plant and the A-Thermal processing plant in Gauteng and pilfering at the plant site in Cato Manor, potentially leading to further environmental releases and contamination.²⁴

Artisanal and small-scale gold miners' informal and unregulated mining is prevalent in disused and functioning gold mines in South Africa and the Southern African region.²⁵ Artisanal and small-scale gold mining (ASGM) utilises elemental mercury to extract gold from ore. The mercury vapour released can potentially cause neurological, cognitive and several other health problems for miners and communities.^{26,27}ASGM is currently the largest source of mercury pollution in the world, contributing 38%, or 838 tonnes, of mercury released into the environment. In Sub-Saharan Africa, emissions from ASGM account for 70%-85%.⁹ South Africa is a major mercury trade hub in Sub-Saharan Africa. A regional report on the mercury

trade and use for ASGM in Sub-Saharan Africa indicated that mercury destined for ASGM activities might be imported as dental amalgam and liquid mercury imported for dental use is used for ASGM.²⁸ As more controls are introduced in the mercury trade, it is essential to apply standards and custom codes that ensure dental amalgam is unavailable in mercury and alloy bulk forms that facilitate diversion to ASGM in the region.

Dental amalgam in the Minamata Convention

In the MCM, dental amalgam is the only mercury-added product subject to a phase-down approach based on prevention, research for alternative materials and waste management practices.³ Annex A Part II of the Convention addresses provisions aimed at a phase-down of dental amalgam, of which a party shall implement two or more of the nine measures (Table I). In 2022, the fourth conference of parties of the MCM added three more provisions on restricting the use of bulk dental amalgam, restricting the use of dental amalgam in children under 15 years of age, pregnant and breastfeeding mothers, and on reporting mechanisms for national action plans for countries that are yet to phase out dental amalgam.²⁹

Table I. Dental amalgam phase-down measures

Dental amalgam

Measures to be taken by a party to phase down the use of dental amalgam shall consider the party's domestic circumstances and relevant international guidance and shall include two or more of the measures from the following list:

(i) Setting national objectives aiming at dental caries prevention and health promotion, thereby minimising the need for dental restoration.

(ii) Setting national objectives aiming at minimising its use.

(iii) Promoting the use of cost-effective and clinically effective mercury-free alternatives for dental restoration.

(iv) Promoting research and development of quality mercury-free materials for dental restoration.

(v) Encouraging representative professional organisations and dental schools to educate and train dental professionals and students on the use of mercury-free dental restoration alternatives and on promoting best management practices.

(vi) Discouraging insurance policies and programmes that favour dental amalgam use over mercury-free dental restoration.

(vii) Encouraging insurance policies and programmes that favour the use of quality alternatives to dental amalgam for dental restoration.

(viii) Restricting the use of dental amalgam to its encapsulated form.

(ix) Promoting the use of best environmental practices in dental facilities to reduce releases of mercury and mercury compounds to water and land.

In addition, parties shall:

(i) Exclude or not allow, by taking measures as appropriate, the use of mercury in bulk form by dental practitioners.

(ii) Exclude or not allow, by taking measures as appropriate, or recommend against the use of dental amalgam for the dental treatment of deciduous teeth, of patients under 15 years and of pregnant and breastfeeding women, except when considered necessary by the dental practitioner based on the needs of the patient.

Parties that have not yet phased out dental amalgam shall:

(iii) Submit to the secretariat a national action plan or a report based on available information with respect to progress they have made or are making to phase down or phase out dental amalgam every four years as part of national reporting. Additionally, the MCM mandates that mercury waste be managed in an environmentally sound manner by considering the guidelines developed under the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal. The environmentally sound management of mercury framework guides handling, interim storage, transportation, treatment, recovery, stabilisation, solidification and long-term storage. The United Nations Environment Programme (UNEP) developed a practical sourcebook,30 updated the guidelines³¹ and produced a catalogue of technologies and services for mercury waste management³² to facilitate the environmentally sound management of mercury waste. Oral health practitioners need to be aware of the current management protocols for mercury waste, as they are likely to affect the cost of dental amalgam and waste management services.

Dental amalgam use and waste management in South Africa

The global mercury consumption in dental applications in 2015 was estimated at an average of 274 tonnes, with sub-Saharan Africa consuming between 5 and 9 tonnes of mercury.⁹ Dental amalgam is an essential restorative material in primary oral health care provision in South Africa.³³ The estimates of dental amalgam usage in South Africa obtained by use from the 2011 inventory of mercury releases suggest that 70-100kg of dental amalgam was sold annually between 2009 and 2011, with a further 50kg of non-amalgamated mercury sold to dental practitioners.³⁴

The use of dental amalgam produces waste, which poses a risk of mercury contamination in the environment,³⁵ through direct wastewater discharge, incineration of healthcare waste, landfilling, sewage sludge incineration, cremation and burials.36 Dental amalgam waste is categorised as noncontact and contact amalgam waste. Used capsules and amalgam scraps form non-contact amalgam waste, while contact amalgam waste is composed of carved amalgam, amalgam captured in chairside traps, vacuum pump filters and extracted teeth.³⁶ Drummond et al estimated that without proper waste management, about 50% of dental amalgam used in restorations could end up in the waste stream.37 Dental amalgam particles settle in wastewater lines, leading to continuous leaching of mercury, exacerbated by the use of oxidising wastewater cleaning agents.³⁸ The production of organic methyl mercury in dental wastewater lines by sulphate-reducing bacteria has been previously reported.39

Managing dental amalgam waste in developing countries is challenging, and containment systems are neither affordable nor readily available. In the East Arica Dental Amalgam Phase-down Project (EADAP), the high cost of mercury waste containment systems and lack of processing facilities were described as significant challenges.40 The informal global WHO consultation with policymakers in dental public health on implementing the Minamata Convention on Mercury reported that only nine percent of countries in the African region have phased out the use of dental amalgam, 30% have no plan to phase out dental amalgam and only 17%-23% of the countries still using dental amalgam have regulations on dental amalgam waste and disposal.41 The risks of environmental contamination with mercury from dental amalgam and the increasing knowledge of the dangers posed by mercury releases have created new dynamics and considerations for dental amalgam use in developing countries.

In South Africa, the regulatory framework for healthcare risk waste is provided by a set of acts, regulations, norms and standards, mainly from the Department of Environmental Affairs through the National Environmental Management: Waste Act (Act 59 of 2008), the National Department of Health, National Health Act (Act No. 61 of 2003) and various provincial legislation and regulations.⁴² Healthcare waste in South Africa is categorised into two main groups: healthcare risk waste (HCRW), which is infectious or hazardous, and healthcare general waste (HCGW), similar to domestic waste.43 An estimated 48,749 tonnes of healthcare risk waste were generated in South Africa in 2017, with waste management services provided by 15 operational HCRW facilities.⁴⁴ The South African Bureau of Standards developed the available guidelines for managing healthcare waste in South Africa. The South African National Standard SANS 10248-3 provides guidelines on managing healthcare risk waste from minor generators, with oral healthcare centres listed among the intended. The guideline adequately outlines requirements for oral health care professionals in the general responsibilities documentation, contractual commitments with waste management firms, training, workplace hygiene and health and safety, guidelines in identification, classification, segregation, collection and packaging of health care waste, characteristics of storage areas, and modalities of transportation, treatment and disposal of healthcare waste.45 The guideline falls short of the current dental amalgam waste management standards known as the Best Management Practices for Dental Amalgam Waste (BMPs).46 The American Dental Association developed the BMPs and introduced an accompanying guide for incorporating BMPs into dental practice.⁴⁷ The BMPs and accompanying guide for incorporating BMPs into dental practices were later adopted by the World Health Organization.⁴⁸ Developing a new healthcare waste guideline for oral health services in line with internationally recognised standards will facilitate the proper management of amalgam waste and meet a measure stipulated in the Minamata Convention. Furthermore, local development and manufacture of technologies such as containment systems and separators will facilitate adaptation of the new guidelines and provide for the growth of circular economy opportunities in the country and the region.

The Department of Environmental Affairs (DEA) promoted appropriate traps in dental wastewater to prevent potential mercury contamination from using dental amalgam⁴⁹ and, furthermore, the South African Dental Association (SADA) advocated the introduction of BMPs for dental amalgam use to prevent environmental mercury contamination.⁵⁰ Recently, the Department of Forestry, Fisheries and the Environment released the amended draft of national regulations for the management of mercury in South Africa to stakeholders. In the draft regulations, dental amalgam phase-down approaches include limiting the use in pre-dosed encapsulated form, ensuring the installation of amalgam separators in dental facilities from 1 April 2025, curbing the release of dental amalgam into the environment and providing guidelines for phase-down plans. The contravention of regulations related to dental amalgam is an offence liable to a fine and/or imprisonment.5

The standard for dental amalgam used in South Africa is an identical implementation of the international standard ISO 24234:2004. In the standard, the amalgam alloy can be packaged as powder in bulk or tablets, with the mercury supplied in bulk or sachets, or both mercury and alloy

supplied in a pre-dosed capsule.⁵¹ In response to measures stipulated in the Minamata Convention, a new dental amalgam standard, ISO 20749:2023, was developed to enable countries that do not allow the use of products other than pre-capsulated amalgam to use the ISO Standard.52 Adapting this new standard will help enforce dental amalgam availability in South Africa in pre-capsulated form and enable the country to meet the requirements of the additional measures stipulated in the Minamata Convention.

SADA entered into a collaboration with Dental Recycling International, which facilitated the availability of amalgam separators in South Africa.53 This commendable initiative should be coupled with introducing BMPs, containment systems for noncontact amalgam waste, amalgam waste treatment and disposal vendors, and infrastructure to support the disposal and long-term storage of mercury waste.

CONCLUSION

The Southern African region faces challenges in providing oral health services due to limited financial and human resources, limited access and affordability, poverty and a higher burden of diseases.⁵⁴ The provision of restorative treatment has been problematic due to the lack of basic oral health care packages in the Western Cape, where less than 31.5% of dental clinics offer a basic treatment package.⁵⁵ Dental amalgam has long been known to be an affordable restorative material compared to other restorative materials.⁵⁶ However, the affordability of amalgam is historical: when amalgam was introduced, the alternative restorative material was gold, which was beyond the reach of many.⁵⁷ It is noteworthy to recognise that most studies that compare the affordability of dental amalgam do not consider the environmental, health and social management costs of continual usage of dental amalgam.58 The increasing knowledge of the effect of mercury on health and the environment has led to the banning of amalgam use in pregnant women and children, advanced phase-out processes in several countries and the cessation of the marketing of dental amalgam by some manufacturers.59

South Africa, the continent's second-largest economy, is in the process of introducing a national health insurance scheme to promote quality, affordable and universal health coverage.60 Dental amalgam is an essential restorative material in primary oral health care provision in South Africa³³ and the use of dental amalgam is still taught in South Africa's dental schools.⁶¹ An in-depth analysis of the benefits of the continued use of dental amalgam is urgent, considering the complexities related to dental amalgam waste management, the impending phase-out in most developed countries that are a source of amalgam and the cost of waste management technologies.

Conflict of interest

The authors declare the manuscript was developed without commercial or financial relationships that could create a conflict of interest.

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