

# 231019 Final CVA Article

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## RAPID REVIEW

Occupational therapy for cerebrovascular accidents: a rapid review of evidence

### ABSTRACT:

**Introduction:** The rising prevalence of stroke in sub-Saharan Africa highlights the role of occupational therapy in rehabilitation of stroke. In South Africa, occupational therapy aligns with healthcare policies and laws, and this article presents a review of synthesized evidence of occupational therapy intervention in stroke care and rehabilitation.

**Method:** Rapid review methodology was used to develop a research question about CVA-related occupational therapy intervention, and the Cochrane Library and MEDLINE databases were searched for relevant articles published between 2018 and 2023. Twenty four articles were selected for inclusion and analysed according to quantitative and qualitative parameters.

**Results:** Evidence of occupational therapy intervention with post-stroke upper limb impairment was found in 37,5% of articles. Occupational therapy for global improvement in function, cognitive rehabilitation, balance, social participation, work, and leisure comprised a combined 45,8% of evidence, and one article each reported evidence for occupational therapy addressing ADL and caregiver interventions.

**Conclusion:** Evidence from the past five years reflect diverse occupational therapy interventions with clients with stroke. Gaps in recent evidence remain in relation to context-specific interventions, especially from low- and middle-income countries.

### Keywords:

stroke rehabilitation, CVA practice and intervention, occupational therapy protocols, cognitive rehabilitation, upper limb interventions

### Implications for practice

- Occupational therapists should adopt a holistic approach to stroke rehabilitation, tailoring interventions to address the multifaceted needs and goals of individual stroke survivors.
- Therapists should stay informed about emerging technologies and evidence-based practice to enhance their effectiveness in stroke rehabilitation

- Given the prevalence of upper limb impairments post-stroke, therapists should be well-versed in diverse intervention approaches for upper limb rehabilitation, including technologies like BCI, MP, MT, and AOT
- Occupational therapy is vital in addressing cognitive impairments in stroke survivors, and practitioners should explore interventions such as VR and computer-assisted cognitive rehabilitation that have shown promise in improving cognition and ADL
- The effectiveness of individualised, occupation-based approaches across various domains are highlighted.

## INTRODUCTION

Cerebrovascular accident (CVA) or stroke is increasing in sub-Saharan Africa<sup>1</sup> and contributes to poverty in the region due to disabling sequelae in people of working age (18y-65y)<sup>1,2,3</sup>. Stroke is the second leading cause of death in the world<sup>1,3</sup>, with most deaths occurring in low-income regions<sup>2</sup>. Occupational therapists as rehabilitation professionals intervene with stroke to address and remediate the effects of impairment that may result from CVA and impede functional performance of day-to-day activities. They use activities that are contextually relevant and meaningful to clients when focusing on a range of functions, including sensory and cognitive, that may have been affected by stroke<sup>4</sup>.

In South Africa occupational therapy intervention with stroke survivors and other clients is governed and guided by laws and policies (for example, the National Health Act<sup>5</sup> and the Framework and Strategy for Disability and Rehabilitation services<sup>6</sup>) that enshrine the fundamental human right of all people to attain the highest standard of health stated in the Bill of Rights in the South African Constitution<sup>7</sup>. From 2012 a phased approach to the implementation of the National Health Insurance Policy<sup>8</sup> was rolled out to promote universal health coverage and realise the equal right to health for all citizens. Professional associations, such as the Occupational Therapy Association of South Africa (OTASA), were approached to describe and defined their members' roles and practices within quality healthcare available to all who live in South Africa.

OTASA subsequently commissioned a task team to identify and compile practice evidence for occupational therapy offered in various areas of healthcare, including the pertinent field of rehabilitation for CVA. This rapid review presents the findings from a systematic approach to finding and collating evidence for occupational therapy services to persons who had sustained stroke injury, to answer a question about evidence-based stroke intervention approaches by occupational therapists. The aim of this review is to synthesise level one and two peer reviewed evidence that describes occupational therapists' practice and intervention in CVA care and rehabilitation at all levels of care, and for all age groups.

## **METHODOLOGY**

Rapid review methodology was selected to address the research question through producing evidence in a resource-efficient manner<sup>9</sup>. This methodology enables accelerated knowledge synthesis, compared to traditional systematic review procedures, through the omission or streamlining of specific methods of producing evidence for stakeholders<sup>9</sup>. A rapid review approach enabled the researchers to produce high level evidence for decision-making in high priority, emergent, and contextual health questions<sup>9</sup>. The researchers (the authors of this paper) met weekly to ensure consistency and uniformity in approach and support. They are all occupational therapists with clinical and academic experience in various fields of practice. The first author was the principal researcher for this review and was assisted by the other authors during all phases of review.

The Department of Health method guide for rapid reviews and protocol template for rapid reviews<sup>10</sup> were used to guide the review process, as well as the Cochran Rapid Reviews method guide<sup>9</sup>. The World Federation of Occupational Therapists' (WFOT) definition of occupational therapy was used to delineate the profession as a client-centred discipline that is concerned with the promotion of health and well-being through occupation<sup>11</sup>. The WFOT stipulates the primary goal of occupational therapy as enabling participation in activities of daily life by enhancing the ability of people and communities to engage in meaningful occupations<sup>11</sup>. OTASA's outline of where occupational therapists work was adopted and, therefore, practice across the lifespan of stroke survivors receiving occupational therapy in a wide variety of settings – spanning primary health care settings to community settings – was included in this review<sup>12</sup>. The definition of stroke referenced by the OTASA task team that developed the stroke rehabilitation standard operating protocol for occupational therapy was used in this review, viz. "stroke is a clinically defined syndrome of acute, focal neurological deficit lasting more than 24 hours, attributed to vascular (infarction, haemorrhage) of the central nervous system"<sup>13</sup>.

### **Setting the research question and topic refinement**

The Cochran Rapid Reviews Methods Group<sup>9</sup> suggests that a review question be defined with stakeholder involvement to ensure fit for purpose. As such, the research question for this review was set by the authors with due consideration of the OTASA Standards Protocol Group's work, as: *What CVA-related evidence exists for occupational therapy across the human life span?* The population of interest was defined as human beings of all ages, genders, and cultural groups who had sustained injury as a result of CVA and sought healthcare at

settings at any level or care, and was referred for occupational therapy. Occupational therapy interventions were defined per WFOT<sup>11</sup> and the OTASA<sup>13</sup> standard operating protocol, and outcomes were considered as functional ability in the engagement of occupations after stroke.

### **Eligibility criteria**

Peer reviewed, full text articles that reported systematic reviews from two databases, The Cochrane Library and MEDLINE, were included. Only articles available in English language were included that were published between 1 January 2012 and 31 March 2023 (the past 10 years).

### **Searching**

The two databases were searched with search terms and search strings developed by the authors in research team discussions, PIO (participants, intervention, outcome) term elements, and MeSH (Medical Subject Headings) terms:

"cerebrovascular accident or CVA or stroke" AND "occupational therapy or occupational therapist or occupational therapists or OT" AND "systematic review".

Grey literature and supplemental searching were not included. The search generated no systematic reviews that satisfied the eligibility criteria on the Cochrane database (n=0), and 143 articles (n=143) from the MEDLINE database. The PRISMA diagram<sup>16</sup> in Figure 1 shows the results of the search, screen, and selection of articles.

### **Study Selection**

The articles were imported to Rayyan.ai<sup>14</sup>, a web tool to assist researchers with reviews and knowledge synthesis, to conduct screening and review (Figure 1). The first author screened the titles and abstracts of all articles for inclusion, and a second reviewer (HvB) blindly screened 42% of the titles and abstracts (n=59). HvB also screened all articles that were excluded by the first author (n=49). Two conflicts were identified after this level of screening and the third reviewer (JvdW) resolved these through further screening.

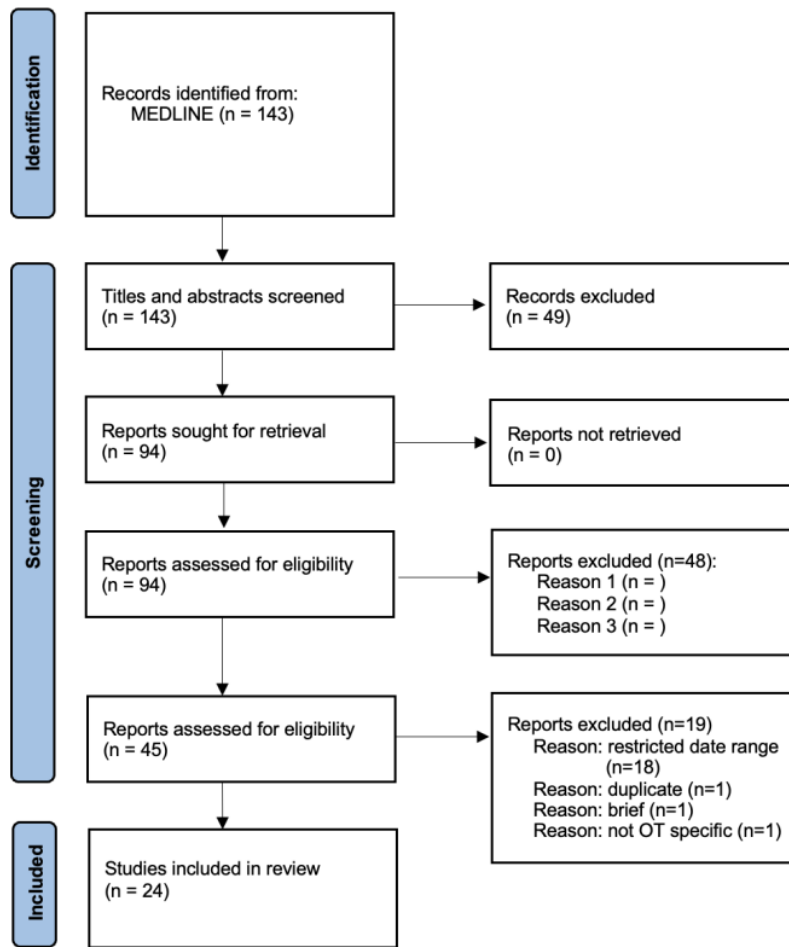


Figure 1: PRISMA diagram

Ninety-four articles (n=94) were included after this first level of screening and the first author did full text screening of these. Forty-eight additional articles were excluded because they did not focus on occupational therapy, or focused on an instrument or equipment rather than an intervention protocol, or did not report a systematic review, or reported on assessment rather than intervention. After full text screening a total of 45 articles were included for review (n=45). Due to the time and scope constraints of a rapid review and evidence of sufficient records available from 2018 to 2023, the time frame of articles included in this review was then further narrowed the past five years. On this basis a further 20 articles were excluded – 18 that were published before 2018, one that was a duplicate, one that reported a brief of an included

article, and one other that did not report on occupational therapy specific intervention. The final number of articles included for review was therefore 24 (n=24).

### **Risk of bias assessment, quality appraisal, and data extraction**

The first author used the Critical Appraisal Skills Programme (CASP) appraisal tool for Systematic Review<sup>15</sup> to evaluate the quality of included articles. Table I shows the quality scores given to articles according to the three answer options: Yes = 2, Can't tell = 1, and No = 0. A higher total score indicated a better quality article.

An Excel data extraction sheet was also developed to record quantitative and qualitative data extracted from articles for analysis. Data items that were extracted included participant characteristics, interventions, outcomes, and publication information.

Table I: Type of evidence and CASP rating of included articles.

<b>Article</b>	<b>Type of evidence</b>	<b>CASP rating</b>
Villa-Berges et al. <sup>19</sup>	Systematic review	100%
De Souza et al. <sup>23</sup>	Systematic review	100%
Kerr et al. <sup>24</sup>	Systematic review	80%
Dorsch et al. <sup>25</sup>	Systematic review	90%
Nogueira et al. <sup>20</sup>	Systematic review and meta-analysis	80%
Zhang et al. <sup>21</sup>	Systematic review and meta-analysis	70%
Turville et al. <sup>22</sup>	Systematic review	80%
Barclay et al. <sup>17</sup>	Intervention review	90%
Bai et al. <sup>18</sup>	Systematic review and meta-analysis	70%
Mohammadi et al. <sup>35</sup>	Systematic review and meta-analysis	80%
Green et al. <sup>36</sup>	Systematic review	80%
Mack and Hildebrand <sup>37</sup>	Narrative synthesis systematic review	90%
Gibson et al. <sup>34</sup>	Systematic review	80%
Chen et al. <sup>32</sup>	Systematic review	80%
Nie et al. <sup>33</sup>	Systematic review	80%
Proffitt et al. <sup>38</sup>	Systematic review	90%
Lee et al. <sup>39</sup>	Systematic review	80%
Stewart et al. <sup>40</sup>	Systematic review	80%
Sarfo et al. <sup>26</sup>	Systematic review	60%
Rodríguez-Martínez et al. <sup>27</sup>	Systematic review	60%
McGlinchey et al. <sup>28</sup>	Systematic review	70%
Díaz-Arribas et al. <sup>30</sup>	Systematic review	80%
Peng et al. <sup>31</sup>	Systematic review	80%
Khan et al. <sup>29</sup>	Review of systematic reviews	60%

## **Synthesis**

Quantitative data underwent analysis using Excel and frequency and percentages were used to derive meaningful insights. Concurrently, qualitative data was examined for textual elements. Weekly group discussion were held to share and deliberate on the outcomes of analyses, and to address emerging patterns of discrepancies. The first author integrated the insights gleaned from analyses into a coherent whole.

## **Reporting of results**

The preliminary results of this review were presented to stakeholders at an online OTASA webinar during May 2023. These were member and non-member occupational therapists of the Association, OTASA management, and members from the Health Professions Council of South Africa (HPCSA). Two hundred and seventy four attendees were present and were encouraged to participate in discussion, feedback, and questions. The webinar engagement was used to refine the discussion and conclusions in this article.

## **RESULTS**

Twenty four systematic reviews were included for analysis in this review. Occupational therapy for upper limb injury or impairment after stroke was reported by the largest proportion of articles (n=9; 37,5%), followed by articles that reported occupational therapy for global improvement in function (n=6; 25%). Three articles (12,5%) focussed on cognition interventions, and two articles each (n=2; 8,3%) reported occupational therapy to address balance, and leisure, social participation, and work grouped together. One article (n=1; 2,4%) reported interventions for improvement of ADL, and another (n=1; 2,4%) on interventions with caregivers of stroke survivors. Table I shows a summary of the articles included in the review.

### **Occupational therapy rehabilitation for upper limb after stroke**

Populations of clients who received upper limb rehabilitation after stroke included adults ( $\geq 18$  yrs) with upper limb impairment up until the age of 73 yrs, and their recovery spanned acute (8.5 days post-stroke) to chronic (6.2 yrs post-stroke) stages. Only one article reported intervention settings clearly as either at a clinic, at a client's home, or in a research laboratory<sup>17</sup>. Other articles were not specific about where intervention took place.

One article did not specify when the protocol under scrutiny was offered by an occupational therapist or another rehabilitation professional, but the authors included occupational therapists. These authors reported on the use of brain-computer interface (BCI) technology in



post-stroke upper limb (UL) interventions<sup>18</sup> by occupational therapists. The researchers confirmed that BCI training is safe after stroke and that it yielded significant immediate improvement of UL motor function. BCI was more effective than mental imagery-based (MI) BCI, and functional electrical stimulation (FES) is useful in functional recovery as a device triggered by BCI. Two further articles included reviews about the use of mental practice (MP)<sup>19,17</sup> and MI<sup>19</sup> by occupational therapists, in combination with traditional treatment, to treat UL motor impairment and motor activity after stroke. Nogueira et al.<sup>20</sup> also found that mirror therapy (MT) is used in occupational therapy to improve UL motor and sensory functions as well as in motor and functional recovery outcomes and activities of daily living (ADL).

Action observation training (AOT) was reported to be used by occupational therapists with significant effect on UL motor function improvement after stroke, with task-based AOT being more effective than movement-based AOT<sup>21</sup>. Occupational therapists further retrain somatosensory components of the UL to improve sensory discrimination in the arm and hand of stroke survivors<sup>22</sup>.

De Souza et al.<sup>23</sup> reviewed evidence for the use of electrical stimulation, dry needling, and therapeutic taping, as the primary occupational therapy protocols used to reduce shoulder pain after stroke. The authors found that these protocols deliver related positive outcomes for UL function and range of movement (ROM). One article reviewed intervention for post-stroke UL spasticity and found that occupational therapists use stretching interventions with static and dynamic splints, manual stretching and stretching devices effectively to reduce spasticity, increase hand function, and to improve engagement in functional tasks<sup>24</sup>.

A review by Dorsch et al.<sup>25</sup> concluded that task-specific training and robotics are used by occupational therapists to improve UL activity and strength with greater success than with Bobath therapy.

### **Occupational therapy interventions for global improvement after stroke**

Seven articles reported reviews done on occupational therapy interventions that address the global functioning of clients after stroke, ranging from interventions for post-stroke UL limitations, mobility functions, and balance, to interventions for daily activity performance. One article reported and updated systematic review of evidence for tele-rehabilitation<sup>26</sup>, while the rest of the articles reported on face-to-face or in-person interventions<sup>27,28,29,30</sup>. Sarfo and colleagues reviewed tele-rehabilitation for persons with stroke injury that is used by rehabilitation therapists (including occupational therapists). Therapists offered motor rehabilitation for hemiparesis and UL limitations, interventions for ankle disability, cognitive

rehabilitation, and depression through tele-media such as telephones and videoconferencing. The review concluded that tele-rehabilitation was effective in treating motor and high cortical deficits, as well as depression post-stroke<sup>26</sup>.

Rodríguez-Martínez et al.<sup>27</sup> systematically reviewed evidence about animal-assisted therapy (AAT) with dogs and horses to inform activity-based occupational therapy practice for clients with stroke. They evidenced that AAT significantly improved clients with stroke's perception of recovery up to six months after intervention, that the quality of life (QoL) of caregivers improved up to three months after intervention, and that clients' gait and functional mobility improved effectively after intervention.

Two articles, one systematic review and one overview of systematic reviews, focused on non-pharmacological interventions aimed at relieving spasticity and immobility-related complications along with physical function after severe stroke. McGlinchey and colleagues concluded that very early mobilisation and occupational therapy after severe stroke **in care homes were no more effective than usual** post-stroke **care** for the improvement of physical function and immobility-related complications. An improvement was found in independent ADL, gait, and gait speed, however, when additional UL and LL training were offered to usual rehabilitation care. Additional wrist and finger neuromuscular electrical stimulation (NMES) in conjunction with usual care also brought about improvement **in wrist extensor and grip strength, but** not necessarily **in** ADL performance<sup>28</sup>. Khan et al considered the effectiveness of occupational therapy with dynamic elbow extension splinting as part of multidisciplinary rehabilitation that follows post-stroke botulinum toxin-A (BoNT) injections<sup>29</sup>. They concluded that very low quality evidence suggests that dynamic elbow splinting and occupational therapy can improve elbow ROM after stroke.

One article considered how effective the Bobath concept in post-stroke rehabilitation is, compared to conventional treatments such as functional activities and orthopaedic approaches<sup>30</sup>. They found that training via forced use of an affected UL (with/without the use of robotic aids, and with/without restriction of movement of the non-affected UL) was more effective in addressing motor control and UL dexterity compared to the Bobath concept. The authors further concluded that the Bobath concept did also not prove to be superior in treatment of post-stroke balance disorders.

A final article reported moderate to large effect sizes on the use of AOT after stroke **to improve arm and hand motor function, gait velocity, walking ability, and daily activity performance,** when compared with conventional occupational therapy<sup>31</sup>.

### **Occupational therapy intervention for cognitive impairment after stroke**

Three articles reported occupational therapy intervention focused on improving cognitive fall-out as a result of stroke. These included two systematic reviews that reported promising findings about the use of computer-based activities used in combination with conventional cognitive rehabilitation. Chen et al. reviewed the use of virtual reality (VR) together with conventional rehabilitation therapy and the effect thereof on cognitive function and ADL in stroke survivors between 34 and 86 years of age<sup>32</sup>. Their review concluded that the combination of VR training and conventional rehabilitation resulted in improved cognition and ADL outcomes for this population, and that VR rehabilitation training can be used widely as complementary to conventional cognitive rehabilitation. Nie et al. reviewed the effects of various software packages and computer-based cognitive training systems on cognitive impairment after stroke, and found that 18 to 85-year old clients with cognitive impairment due to stroke, showed significant improvement in ADL and global cognition when computer-assistive cognitive rehabilitation is added to conventional therapy<sup>33</sup>.

One article reviewed remediation approaches (e.g. using pen and paper materials) and compensatory and adaptive approaches (e.g. ADL strategy training) used by occupational therapists with stroke patients with cognitive impairment<sup>34</sup>. They found that these interventions resulted in clinically important difference immediately after intervention, and slight improvement in the global cognitive performance with; however, no clear effectiveness of OT for cognitive impairment after stroke was identified, and there was almost no clinical difference in the performance of basic ADL immediately after intervention or at follow-up.

### **Occupational therapy post-stroke interventions for balance**

Two systematic reviews considered post-stroke interventions done by rehabilitation professionals to address balance impairment. Mohammadi et al. reviewed studies where VR was used in combination with conventional rehabilitation (stretching, range of motion, therapeutic exercises, strengthening, FES, neurodevelopmental treatment, gait and balance training, and functional activities) with persons ranging in mean ages from 51.96 to 64.85 years. Periods of post-stroke were from recent (>15 days) to chronic stages (>6 months)<sup>35</sup>. VR training included the use of speakers, monitors, and static or dynamic balance training surfaces or floor space (i.e. non-immersive systems). The authors' main finding was that VR, when combined with conventional therapy, was moderately more effective in improving balance in post-stroke individuals.

The second review focused on the use of yoga in addressing balance with adults with neuromuscular impairment as a result of various conditions, including stroke<sup>36</sup>. The population of interest was older persons who lived in the community and the aim of the review included ascertaining whether yoga for balance affected risk of falls. Yoga-based intervention

comprised beginner yoga group sessions, and yoga group sessions. The latter, which was offered twice a week for eight weeks, resulted in significantly improved balance baseline scores for individuals. The authors concluded that occupational therapists can use yoga as an effective modality to improve balance in people with stroke.

### **Occupational therapy with caregivers of persons with stroke**

One review addressed the role of occupational therapists to supporting and enable caregivers of persons with stroke. Mack and Hildebrand systematically reviewed studies focused on interventions with adult caregivers (>18 years) of persons with stroke<sup>37</sup>. Reviews considered the use of caregiver education and support, cognitive behavioural techniques (CBT), and multimodal interventions with this group. They concluded that occupational therapists have a role in enabling caregivers to maintain participation in the occupation of caregiving. The review further found that CBT, problem-solving interventions, education, training, and support interventions (or these approaches in combination) should be offered to caregivers before discharge of the person with stroke, or in person in health care settings, or in the home, or even remotely.

### **Occupational therapy to improve work, social participation, and leisure after stroke**

Two systematic reviews reported occupational therapy interventions for the occupational performance areas of social participation, work, and leisure. Proffitt and colleagues included studies that focused on persons with stroke or caregivers that were 18 years and older<sup>38</sup>. These studies reported on metacognitive strategy training, occupation-based approaches, impairment-based approaches, education and training approaches, and enriched environment approaches used in occupational therapy with stroke clients. For each approach, a number of intervention aspects were reported, specifically: individual-focused problem-solving, cognitive orientation to daily occupational performance, group-based interventions, client education, community-based with follow-up, caregiver education, upper extremity training, cognitive training, visual scanning training, individual exercise and balance training, group intervention, work, and leisure. The authors concluded that improvement in the social participation, social functioning, or social roles of post-stroke clients, were found in primarily occupation-based studies. According to their quality rating used in the review, strength of evidence for problem-solving approaches and occupation-based were low, however, while evidence for group-based approaches was of moderate strength.

Lee et al reported studies done with persons of mean ages 46 to 73 years who sustained stroke from 80 days up until 7 years later, and reviewed the content and effectiveness of interventions that were focused on community participation for this population<sup>39</sup>. They

considered occupational therapy interventions in both community and hospital settings that were delivered in individual, group, or combined formats, over periods of six weeks to 12 months. Interventions that were focused on community participation but with individualised approaches to empower people with tools for management of their community participation, were found to have promising effects on health-related quality of life, participation, and depression.

### **Occupational therapy intervention for ADL**

The involvement of occupational therapy as non-pharmacological intervention after stroke to improve activities of daily living were considered in one systematic review. The review focused on older stroke survivors (older than 65 years or mean age  $\geq$  65 years) and included 12 randomised control trials with a wide range of occupational therapy interventions<sup>40</sup>. These were: group-based assistive device demonstrations in hospital and additional home-based training, facilitating more independence in ADL and return to function, teaching new skills, enabling the use of supplied equipment, giving information to the participant as well as the carer, referring to or liaising with other agencies, strategy training to compensate for apraxia during ADL performance, home visits with individual goals for self-care, occupational therapy provided quicker and more often, participation in domestic and leisure activities, interventions to address outdoor mobility goals, and leisure activity focused interventions. The reviewers concluded that evidence suggests benefit to older stroke survivors' ADL performance with additional occupational therapy, however, that one approach is not evidently more beneficial than another. They further surmised that ADL focused therapy is important in stroke rehabilitation, although optimal intensity and/or duration of intervention have not been determined yet.

### **DISCUSSION**

Articles included in this review report provided an overview of occupational therapy interventions for stroke survivors that were recorded and published over the past five years (2018 - 2023). One article offered a review of 18 other systematic reviews<sup>29</sup>, while the rest of the articles (n=23) reported systematic reviews completed by the authors of these articles. Included articles satisfied the lead author's assessment of risk of bias and quality in a range from 60% to 100%. A comprehensive overview of the various occupational therapy interventions for stroke survivors were reported that cover a range of domains including upper limb rehabilitation, global functioning, cognitive impairment, balance, caregiver support, social participation, work, leisure, and ADL. These were considered only in terms of persons 18 years

and older receiving post-stroke occupational therapy, and, therefore, excluded clients from 13 months of age until 17 years old<sup>13</sup>. The higher prevalence of stroke in adult and older life stages may explain the focus of reviewed articles which reflect the past five years. Nevertheless, review findings demonstrate the wide variety of occupational therapy interventions available for stroke survivors that reflect the multifaceted nature of stroke recovery, and are tailored to address specific impairments and needs of individuals.

Evidence reported further reflect a lack of focus on occupational therapy's role in rehabilitating, facilitating, and supporting learners back into school or other learning environments after stroke, and the profession's important involvement in post-stroke vocational rehabilitation and return to work<sup>13</sup>. Similarly, none of the reports included reviews of occupational therapy for driving post-stroke as an aspect of mobility.

No studies in this review, with the exception of one that focused on improvement in ADL, specified the settings within which post-stroke occupational therapy was offered, although inference was possible from reports on the stages of recovery as acute to chronic. Such lack of reporting may be significant in the context of local service provision with a primary healthcare and community-based rehabilitation focus, if evidence for the profession is rendered primarily from high income countries with different healthcare settings, approaches and priorities.

The most frequently reported intervention was occupational therapy intervention for post-stroke upper limb rehabilitation, indicating an emphasis on interventions for upper limb impairments that commonly present post-stroke. Diverse occupational therapy intervention approaches are employed to rehabilitate upper limb function, such as BCI technology, MP, MT, and AOT.

Interventions aimed at improving global functioning after stroke were also prevalent. Tele-rehabilitation emerged as an effective method, particularly in treating motor deficits and cognitive impairments. Additionally, AAT with dogs and horses showed promise in enhancing recovery and quality of life.

The findings further suggest that occupational therapy interventions for cognitive impairment resulting from stroke, including the use of VR and computer-assisted cognitive rehabilitation, can significantly improve cognition and ADL. However, further research may be needed to determine the long-term effectiveness of these approaches.

Reviewed articles also show the vital role of occupational therapists in addressing balance impairment in stroke survivors. VR and yoga-based interventions were identified as effective

tools in improving balance, providing alternative options for therapists to consider in their practice.

Caregivers of stroke survivors also benefit from occupational therapy intervention. Providing caregivers with CBT techniques, education, training, and support can help them cope with the challenges of caregiving and in maintaining their own well-being. Occupational therapy interventions further extend beyond physical rehabilitation to promote social participation, work, and leisure activities. Individualised, occupation-based approaches were found to be effective, emphasising the importance of tailoring interventions to the individual's needs and goals.

Occupational therapy interventions targeted at improving ADL performance in older stroke survivors were shown to be beneficial. The review highlighted the diversity of intervention approaches, suggesting that a personalised approach may be more effective.

While this review provides valuable insights into the effectiveness of various occupational therapy interventions, it also identifies areas where further research is needed. Our review underscores the pressing need for contextually relevant studies utilising experimental designs, particularly in South Africa context, where there was a notable scarcity of research contributions within the scope of the review. Future studies should aim to clarify the optimal intensity and duration of interventions, determine long-term outcomes, and explore the cost-effectiveness of these approaches. The findings from this review have significant implications for clinical practice. Occupational therapists should consider a holistic approach, tailoring interventions to the specific needs of stroke survivors. Additionally, therapists should stay informed about emerging technologies and evidence-based practices to enhance their effectiveness in stroke rehabilitation.

### **Limitations**

The scope of this review did not include assessment protocols, methods, and instruments/tools used by occupational therapist in post-stroke care.

No articles reported occupational therapy intervention with post-stroke oedema in particular, while prevention and intervention for clients with swelling in extremities after stroke are included in South African protocols of occupational therapy<sup>13</sup>. Occupational therapy protocols for paediatric clients were also not found in the scope of this review and would require a focused separate review to render more age specific interventions.

### **CONCLUSION**

This rapid review has provided a comprehensive overview of occupational therapy interventions for stroke survivors, drawing from level one and two peer-reviewed evidence published over the past five years. The diverse interventions reported shows the multifaceted nature of stroke recovery and the profession's commitment to addressing impairments and individual needs. However, analysis has highlighted critical research gaps on the role of occupational therapy in stroke rehabilitation for school or other learning environments, as well as in vocational rehabilitation and post-stroke return to work. Additionally, a lack of attention to occupational therapy's involvement in post-stroke driving rehabilitation was exposed. Moreover, the absence of specified settings within which post-stroke occupational therapy is offered raises questions about the applicability of evidence generated primarily from high-income countries to settings with different healthcare approaches and priorities.



Table II: Articles included in the review

Post-stroke focus area	Authors & Publication	Title	Study population	Intervention	Findings
Upper limb	Villa-Berges et al., 2023 <sup>19</sup> Occupational Therapy International	Motor Imagery and Mental Practice in the Subacute and Chronic Phases in Upper Limb Rehabilitation after Stroke: A Systematic Review	Clients diagnosed with stroke and upper limb affected, with MI or MP as the only or combined modality, in sub-acute and chronic phases	<ul style="list-style-type: none"> <li>Therapist-supervised MI or MP</li> <li>Conventional PT and/or OT (stretching exercises, NDT, techniques to enhance independent ADL)</li> </ul>	MI and/or MP treatment in subacute and chronic phases combined with traditional treatment is more effective in UL motor recovery than intervention with only conventional treatment
	De Souza et al., 2021 <sup>23</sup> Occupational Therapy International	Protocols used by occupational therapists on shoulder pain after stroke: systematic review and meta-analysis	Populations that had stroke and sequelae of shoulder pain	Interventions with equipment or resources associated with exercise and functional activities to treat shoulder pain: <ul style="list-style-type: none"> <li>TENS</li> <li>t-NMES</li> <li>FES-BCI</li> <li>therapeutic taping</li> <li>dry needling</li> </ul>	Meta-analysis indicated pain reduction with the main protocols used in shoulder pain, i.e. electrical stimulation (with or without control by brain-machine interface), therapeutic taping, and dry needling. UL function and ROM are favoured by these treatments.
	Kerr et al., 2020 <sup>24</sup> American Journal of Occupational Therapy	Stretching and Splinting Interventions for Poststroke Spasticity, Hand Function, and Functional Tasks: A Systematic Review	≥ 18yrs with chronic stroke (≥ 6mths) with PSS (poststroke spasticity)	UL stretching and splinting interventions: static and dynamic splinting, manual stretching, stretching devices	Low to moderate strength evidence for effectiveness of stretching interventions aimed at reducing spasticity, increasing hand function, and

<p>Dorsch et al., 2023<sup>25</sup> Journal of Physiotherapy</p>	<p>Bobath therapy is inferior to task-specific training and not superior to other interventions in improving arm activity and arm strength outcomes after stroke: a systematic review</p>	<p>Adults (ave 49 yrs - 73 yrs) after stroke: ranging &lt; 6 months (acute/subacute) to 4,5 years post-stroke</p>	<p>Therapy based on the Bobath concept, targeting affected UL; compared with different interventions, i.e. general arm movements, task-specific training, robotics, mental practice, or no intervention</p>	<p>improving engagement in functional tasks for adults with post-stroke spasticity Task-specific training and robotics resulted in improved arm outcomes for stroke when compared with Bobath therapy; task-specific training is also superior to Bobath therapy for arm activity and strength outcomes</p>
<p>Nogueira et al., 2021<sup>20</sup> Brain Research Bulletin</p>	<p>Mirror therapy in upper limb motor recovery and activities of daily living, and its neural correlates in stroke individuals: A systematic review and meta-analysis</p>	<p>Mean age of 59.1 yrs; 8.5 days post-stroke to 4.76 yrs poststroke</p>	<p>Mirror therapy</p>	<p>MT contributes to improvements in motor and sensory functions, especially compared to standard protocols; also showed small benefit (compared to sham therapy) to motor and functional recovery outcome and ADLs</p>
<p>Zhang et al., 2019<sup>21</sup> PLOS ONE</p>	<p>The effects of action observation training on improving upper limb motor functions in people with stroke: A systematic review and meta-analysis</p>	<p>Participants who had CVA between &lt; 1 month ago to &gt; 6 months ago</p>	<p>Task-based AOT or movement-based AOT</p>	<p>AOT had a significant effect on UL motor functions immediately after intervention; task-based AOT may be more effective than movement-based AOT</p>
<p>Turville et al., 2019<sup>22</sup> Clinical Rehabilitation</p>	<p>The effectiveness of somatosensory retraining for improving sensory</p>	<p>199 stroke survivors; ave age = 59.5; ave 1.8 yrs post-stroke (ranged</p>	<p>Retraining somato-sensation or combined</p>	<p>Somatosensory discrimination retraining may improve stroke</p>

		<p>function in the arm following stroke: a systematic review</p> <p>8 Mental practice for treating upper extremity deficits in individuals with hemiparesis after stroke.</p>	<p>3 wks to 6.2 yrs); &gt; male participants; almost equal R- and L-sided stroke</p>	<p>somatosensory and motor retraining</p>	<p>survivors' ability to discriminate bodily sensations in the arm and hand; limited evidence for somatosensory discrimination retraining facilitating arm function</p>
<p>Barclay et al., 2020<sup>17</sup> Cochrane Database of Systematic Reviews</p>		<p>8 Immediate and long-term effects of BCI-based rehabilitation of the upper extremity after stroke: a systematic review and meta-analysis.</p>	<p>Individuals with hemiparesis after stroke; in settings - clinic, home, research laboratory, or unclear</p>	<p>MP of UL movement or tasks in addition to other treatment or standalone</p>	<p>Moderate-certainty evidence shows that MP with other treatment appears more effective in improving UL activity than the other treatment alone; also beneficial in improving UL impairment; ADLs may not be improved with MP in addition to other treatment</p>
<p>Bai et al., 2020<sup>18</sup> Journal of NeuroEngineering and Rehabilitation</p>			<p>Persons with hemiparesis after stroke; mean age range 40.94 - 67.1 yrs</p>	<p>BCI-orthosis, - exoskeleton, - visual feedback or somatosensory feedback, - robot, conventional rehabilitation and visual feedback, standard training and orthosis, -FES, and other</p>	<p>BCI training is safe after stroke and had significant immediate effects on improvement of upper extremity motor function; BCI seem more effective than MI-based BCI; FES may be more useful device triggered by BCI for functional recovery than other kinds of neural feedback</p>

Balance	<p>Mohammadi et al., 2019<sup>35</sup></p> <p>Journal of stroke and cerebrovascular diseases</p>	<p>Effects of Virtual Reality Compared to Conventional Therapy on Balance Poststroke: A Systematic Review and Meta-Analysis</p>	<p>Mean ages ranged 51.96 yrs - 64.85; poststroke periods ranged recent (&gt;15 days) - chronic (&gt;6 months)</p>	<ul style="list-style-type: none"> <li>VR and conventional therapy</li> </ul>	<p>VR combined with conventional therapy is moderately more effective in improving balance than conventional therapy alone in post-stroke individuals.</p>
	<p>Green et al., 2019<sup>36</sup></p> <p>American Journal of Occupational Therapy</p>	<p>Systematic Review of Yoga and Balance: Effect on Adults With Neuromuscular Impairment</p>	<p>Community-dwelling persons post-stroke</p>	<p>Yoga-based intervention (beginner yoga group sessions, and yoga group sessions)</p>	<p>Yoga has potential as an effective modality in occupational therapy intervention to improve balance post-stroke, and to reduce risk of falls in community-dwelling older persons with stroke</p>
Caregivers	<p>Mack and Hildebrand, 2023<sup>37</sup></p> <p>AJOT</p>	<p>Interventions for Caregivers of People Who Have Had a Stroke: A Systematic Review</p>	<p>Adult caregivers (&gt;18y) of someone with stroke</p>	<ul style="list-style-type: none"> <li>CBT techniques</li> <li>Caregiver education only</li> <li>Caregiver support only</li> <li>Education and support</li> <li>Multimodal interventions</li> </ul>	<ul style="list-style-type: none"> <li>OT has a role in helping caregivers maintain participation in caregiving.</li> <li>Problem-solving interventions, CBT, education and training, and support interventions (or a combination of approaches) should be used by OTs</li> <li>Intervention should be provided before discharge, in person in health care</li> </ul>

Cognition	<p>Gibson et al. 2022<sup>34</sup> Cochrane Database of Systematic Reviews</p>	<p>Occupational therapy for cognitive impairment in stroke patients.</p>	<p>Adults with clinical stroke &amp; confirmed cognitive impairment;</p> <ul style="list-style-type: none"> <li>ages 43 - 81 yrs;</li> <li>1142 participants;</li> <li>2 wks to 8 yrs since stroke;</li> <li>in hospital/rehab centre/out-pts</li> </ul>	<p>Remediation approaches:</p> <ul style="list-style-type: none"> <li>CBI</li> <li>pen and paper materials</li> </ul> <p>Compensatory and adaptive approaches:</p> <ul style="list-style-type: none"> <li>ADL strategy training</li> <li>ADL GUIDE training</li> <li>Home Automation training</li> <li>Dressing training</li> </ul>	<p>settings, in the home, and remotely</p> <ul style="list-style-type: none"> <li>Effectiveness of OT for cognitive impairment post-stroke is unclear</li> <li>Little to no clinical difference in BADL immediately after intervention and at 3 and 6 months follow-up</li> <li>Slight improvement in global cognitive performance of clinically important difference immediately after intervention</li> </ul>
<p>Chen et al., 2022<sup>32</sup> Archives of physical medicine and rehabilitation</p>	<p>Effects of Virtual Reality Rehabilitation Training on Cognitive Function and Activities of Daily Living of Patients With Poststroke Cognitive Impairment: A Systematic Review and Meta-Analysis</p>	<p>35y - 85y; in-hospital</p>	<p>Conventional therapies (rehabilitation therapy; drug therapy) and VR training</p>	<ul style="list-style-type: none"> <li>VR training improved cognitive function and ADL in post-stroke cognitive impairment and ADL</li> <li>VR rehabilitation training can be widely used in clinical rehabilitation as complementary strategy to conventional cognitive rehabilitation</li> </ul>	

	<p>Nie et al., 2022<sup>33</sup> Journal of Clinical Nursing</p>	<p>The effects of computer-assisted cognitive rehabilitation on cognitive impairment after stroke: A systematic review and meta-analysis</p>	<p>18yrs - 85yrs</p>	<p>Computer-assisted cognitive rehabilitation, incl.</p> <ul style="list-style-type: none"> <li>• Rehabcom software</li> <li>• Brain Train System</li> <li>• Individually tailored computer-aided programs</li> </ul>	<p>Computer-assisted cognitive rehabilitation added to conventional therapy significantly improved global cognition and ADL of patients with post-stroke cognitive impairment</p>
<p>Social participation, work, leisure</p>	<p>Proffitt et al., 2022<sup>38</sup> AJOT</p>	<p>Interventions to Improve Social Participation, Work, and Leisure Among Adults Poststroke: A Systematic Review</p>	<p>Post-stroke (or caregiver), ≥ 18 yr</p>	<ul style="list-style-type: none"> <li>• Occupation-based approaches.</li> <li>• metacognitive strategy training</li> <li>• Education and training approaches</li> <li>• Impairment-based approaches</li> <li>• Enriched environment approaches</li> </ul>	<ul style="list-style-type: none"> <li>• There is low strength evidence for occupation-based and problem-solving approaches</li> <li>• Moderate evidence for group-based approaches</li> </ul>
<p>Lee et al., 2019<sup>39</sup> Archives of physical medicine and rehabilitation</p>	<p>Content and Effectiveness of Interventions Focusing on Community Participation Poststroke: A Systematic Review</p>	<p>Mean age range 46-73 yrs; mean time post-stroke ranged 80 days - 7 yrs;</p>	<ul style="list-style-type: none"> <li>• Leisure participation and community integration in community or hospital;</li> <li>• delivered in one-on-on format or groups, or combined;</li> <li>• for 6 weeks to 12 months</li> </ul>	<ul style="list-style-type: none"> <li>• Limited positive effects on participation, depression and health-related quality of life</li> <li>• Community participation-focused interventions seem to have a promising effect on these outcomes when interventions were</li> </ul>	

ADL	Stewart et al., 2018 <sup>40</sup> PLoS ONE	Non-pharmacological interventions for the improvement of post-stroke activities of daily living and disability amongst older stroke survivors: A systematic review	≥ 65y or mean age must be ≥ 65y	Various in-hospital and home-based interventions	individualised and aimed to empower people with tools to manage community participation
Global	Sarfo et al., 2018 <sup>26</sup> Journal of Stroke and Cerebrovascular Disease	Tele-Rehabilitation after Stroke: An Updated Systematic Review of the Literature	Not specified	Interventions for different impairments: <ul style="list-style-type: none"> <li>• Motor rehabilitation (hemiparesis and UL limitations)</li> <li>• Interventions for ankle disability</li> <li>• Guttman Neuro Personal Trainer cognitive tele-rehabilitation (higher cortical dysfunction)</li> <li>• Intervention for depression</li> </ul>	Tele-rehabilitation for motor and high cortical deficits and post-stroke depression appear as effective as in-person therapies, if not better
	Rodríguez-Martínez et al., 2021 <sup>27</sup> International Journal of Environmental Research and Public Health	Evidence of Animal-Assisted Therapy in Neurological Diseases in Adults: A Systematic Review	Clients with stroke	Animal-assisted therapy (AAT) with horses: <ul style="list-style-type: none"> <li>• grooming and equipping horse</li> <li>• equestrian activity</li> <li>• balance exercises</li> </ul>	<ul style="list-style-type: none"> <li>• Significant results in perception of recovery up to 6 months after intervention;</li> <li>• Improved QoL in caregivers after intervention up to 3 months later;</li> </ul>

	<p>McGlinchey et al., 2020<sup>28</sup> British Medical Journal</p>	<p>The effect of rehabilitation interventions on physical function and immobility-related complications in severe stroke: a systematic review</p>	<p>Patients with severe stroke - <math>\geq</math> 18 yrs; mean age 72.7 yrs</p>	<ul style="list-style-type: none"> <li>trunk rotation exercises</li> <li>exercises to train affected parts</li> <li>cognitive exercises</li> <li>strength exercises</li> <li>relaxation</li> <li>body awareness</li> <li>deep, slow breathing</li> </ul> <p>Non-surgical or non-pharmacological interventions used in current clinical practice as part of usual rehabilitation care after stroke, to manage problems relating to physical function or immobility-related complications</p>	<ul style="list-style-type: none"> <li>Effectiveness in improving gait and functional mobility observed</li> </ul>
	<p>Díaz-Arribas et al., 2020<sup>30</sup> Disability and rehabilitation</p>	<p>Effectiveness of the Bobath concept in the treatment of stroke: a systematic review.</p>	<p>Adults with stroke</p>	<ul style="list-style-type: none"> <li>PNF compared with Bobath compared with conventional treatment: <ul style="list-style-type: none"> <li>traditional exercises</li> <li>functional activities</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Very early mobilisation and OT in care homes were no more effective than usual care</li> <li>Wrist and finger NMES improve wrist extensor and grip strength</li> <li>Additional UL and LL training improves UL and LL function respectively</li> <li>Improvement in independent ADL and gait, and gait speed</li> </ul>
				<ul style="list-style-type: none"> <li>PNF compared with Bobath compared with conventional treatment: <ul style="list-style-type: none"> <li>traditional exercises</li> <li>functional activities</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Constraint-induced therapy shows greater effectiveness in UL treatment/rehabilitation than Bobath therapy</li> <li>UL training shows greater effectiveness than conventional therapy (incl. Bobath concept)</li> </ul>



	<p>Peng et al., 2019<sup>31</sup> Clinical Rehabilitation</p>	<p>Action observation therapy for improving arm function, walking ability, and daily activity performance after stroke: a systematic review and meta-analysis.</p>	<p>600 clients; mean age 48.65 to 78.8 yr; mean stroke onset time varied 17.8 to 1472.9 days</p>	<ul style="list-style-type: none"> <li>• NDT compared with robot-assisted therapy</li> <li>• Constraint-induced movement therapy compared to NDT</li> <li>• Standardised dose-matched NDT exercises compared to BATRAC</li> <li>• Bobath compared to orthopaedic approach</li> <li>• Arm BASIS training</li> </ul> <p>Clients asked to watch video of arm and hand ROM exercises, reaching and grasping movements, walking on different surfaces</p>	<ul style="list-style-type: none"> <li>• Multimodal interventions and treatments where Bobath is used are more effective combined with intensive therapy for UL</li> </ul>
	<p>Khan et al., 2019<sup>29</sup> Annals of Physical and Rehabilitation Medicine</p>	<p>Non-pharmacological interventions for spasticity in adults: An overview of systematic reviews.</p>	<p>Not specified</p>	<ul style="list-style-type: none"> <li>• Acupuncture (incl. electro-acupuncture)</li> <li>• Electrical stimulation</li> <li>• Multidisciplinary rehabilitation after BoNT injections</li> </ul>	<p>Moderate to large effects sizes on improving arm and hand motor function, walking ability (acute/subacute/chronic phases), gait velocity, and daily activity performance compared with control treatments</p> <ul style="list-style-type: none"> <li>• Electro-acupuncture combined with conventional routine care reduce spasticity, improve overall motor functions and ADL</li> <li>• NES combined with other interventions improve spasticity and joint ROM</li> </ul>

					<ul style="list-style-type: none"> <li>Some evidence for rehabilitation programs targeting spasticity (e.g. mCIMT, stretching, dynamic elbow splinting and OT)</li> </ul>
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BCI=brain-computer interface; UL=upper limb; MI=mental imagery; FES=functional electrical stimulation; MP=mental practice; MT=mirror therapy; ADL=activities of daily living/life; AOT=action observation training; ROM=range of movement; AAT=animal assisted therapy; QoL=quality of life; NMES=neuromuscular electrical stimulation; BoNT=botulinum toxin-A; VR=virtual reality; CBT=cognitive behavioural therapy

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PAGE 1

PAGE 2

PAGE 3

PAGE 4

PAGE 5

PAGE 6

PAGE 7

PAGE 8

PAGE 9

PAGE 10

PAGE 11

PAGE 12

PAGE 13

PAGE 14

PAGE 15

PAGE 16

PAGE 17

PAGE 18

PAGE 19

PAGE 20

PAGE 21

PAGE 22

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PAGE 23

---

PAGE 24

---

PAGE 25

---

PAGE 26

---

PAGE 27

---

PAGE 28

---

PAGE 29

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PAGE 30

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